

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:  Yen-Fu Chen, <i>et al.</i>	: Group Art Unit:
Serial No.:	: Intellectual Property Law Department
Filed:	: International Business Machines Corp.
Title: "Online Learning Monitor"	: 11400 Burnet Road
	: Internal Zip 4054
	: Austin, Texas 78758

Date: 11/19/03

**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner of Patents  
Washington, D. C. 20231

Sir:

Applicants submit herewith patents, publications or other information of which they are aware, which they believe may be material to the patentability of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR 1.56.

While this Information Disclosure Statement may be "material" pursuant to 37 CFR 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention unless specifically designated as such.

In accordance with 37 CFR 1.97(g) the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR 1.56 (a) exists.

AUS920030665US1

The attached form, PTO-1449, provides a listing of patents, publications, or other information as required by 37 CFR 1.98 (a)(1).

A copy of each of the items on PTO-1449 is supplied herewith.

Respectfully submitted,

A handwritten signature in black ink that reads "David Mims, Jr." The signature is written in a cursive style with a large, looped "D" and a stylized "M".

David A. Mims  
Attorney for Applicants  
Registration No. 32,708  
(512) 823-0950

In Place of FORM PTO-1449 (Modified)

Serial No. \_\_\_\_\_

LIST OF PATENTS AND PUBLICATIONS FOR  
APPLICANT'S INFORMATION DISCLOSURE  
STATEMENT

Applicant: Yen-Fu Chen

Filing Date: \_\_\_\_\_

Group: \_\_\_\_\_

Atty. Docket No. AUS920030665US1

Reference Designation

U. S. PATENT DOCUMENTS

Examiner Document

Filing Date  
if

<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Name</u>	<u>Class</u>	<u>Subclass</u>	<u>Appropriate</u>
_____	AA 6,401,118	06/04/02	Thomas	709	224	
_____	AB 6,236,955	05/22/02	Summers	703	6	
_____	AC 6,453,268	09/17/03	Carney, et al	702	186	
_____	AD 6,591,094	07/08/03	Bentley	455	405	
_____	AE 6,289,330	09/11/01	Jannarone	706	26	

FOREIGN PATENT DOCUMENTS

Examiner Document

Translation

<u>Initial</u>	<u>Number</u>	<u>Date</u>	<u>Country</u>	<u>Class</u>	<u>Subclass</u>	<u>Yes</u>	<u>No</u>
_____	AF						

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

Examiner

Initial

_____	AG	"OLE Concepts and Requirements Overview" by Microsoft Corp., downloaded on 11/6/2003 from <a href="http://support.microsoft.com">http://support.microsoft.com</a>					
_____	AH						
_____	AI						
_____	AJ						

Examiner:

Date Considered:

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.